

# Strongly Absorbing Aerosol Refractive Indices in the Highly Polluted Indo-Gangetic Plains

Taveen S. Kapoor<sup>1,2</sup>, Harish C. Phuleria<sup>2</sup>, Benjamin Sumlin<sup>1</sup>, Nishit Shetty<sup>1</sup>, Gupta Anurag<sup>2</sup>, Mahak Bansal<sup>3</sup>, Sandeep Duhan<sup>4</sup>, Shahzar Khan<sup>3</sup>, Jitendra Laura<sup>4</sup>, Pooja Manwani<sup>2</sup>, Rajan K. Chakrabarty<sup>1,\*</sup>, Chandra Venkataraman<sup>2,\*</sup>

<sup>1</sup> Washington University in St. Louis

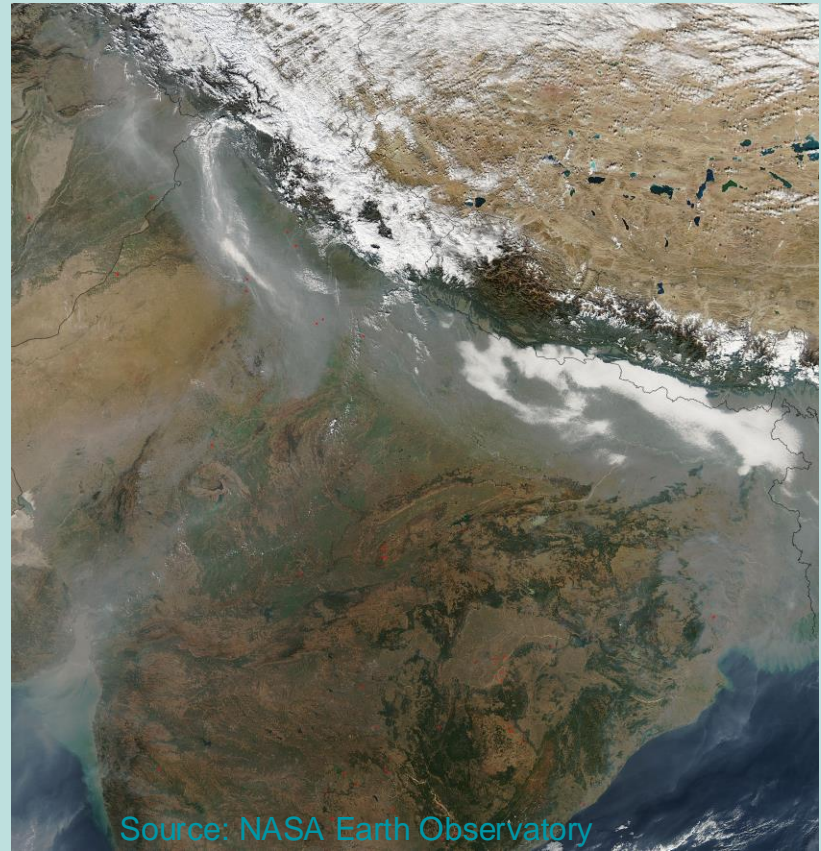
<sup>2</sup> Indian Institute of Technology Bombay

<sup>3</sup> Indian Institute of Technology Delhi

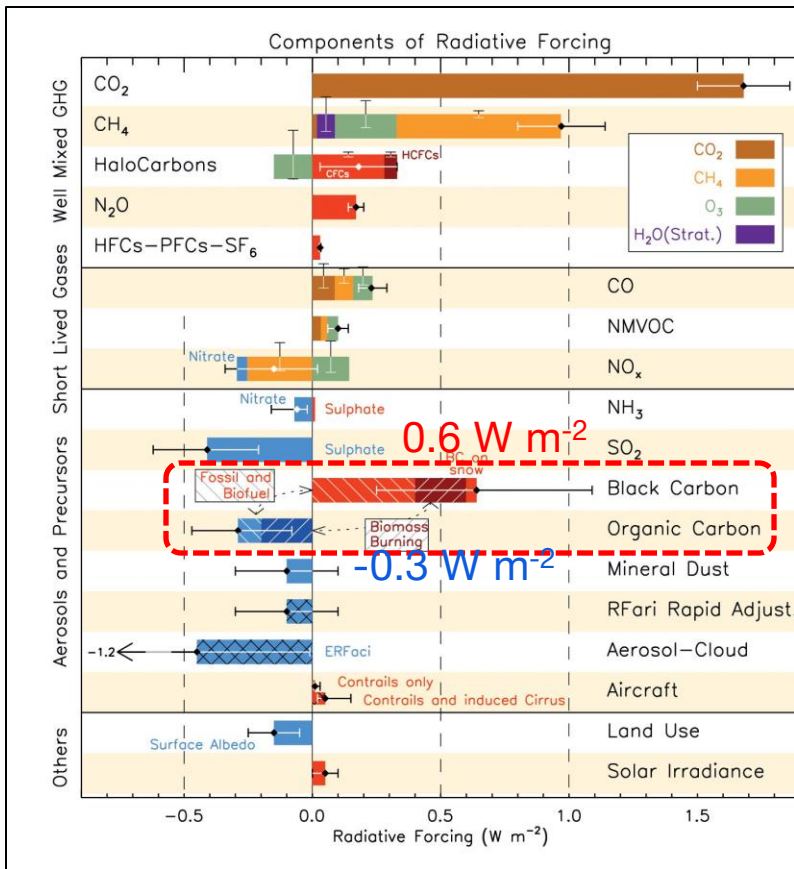
<sup>4</sup> Maharshi Dayanand University Rohtak



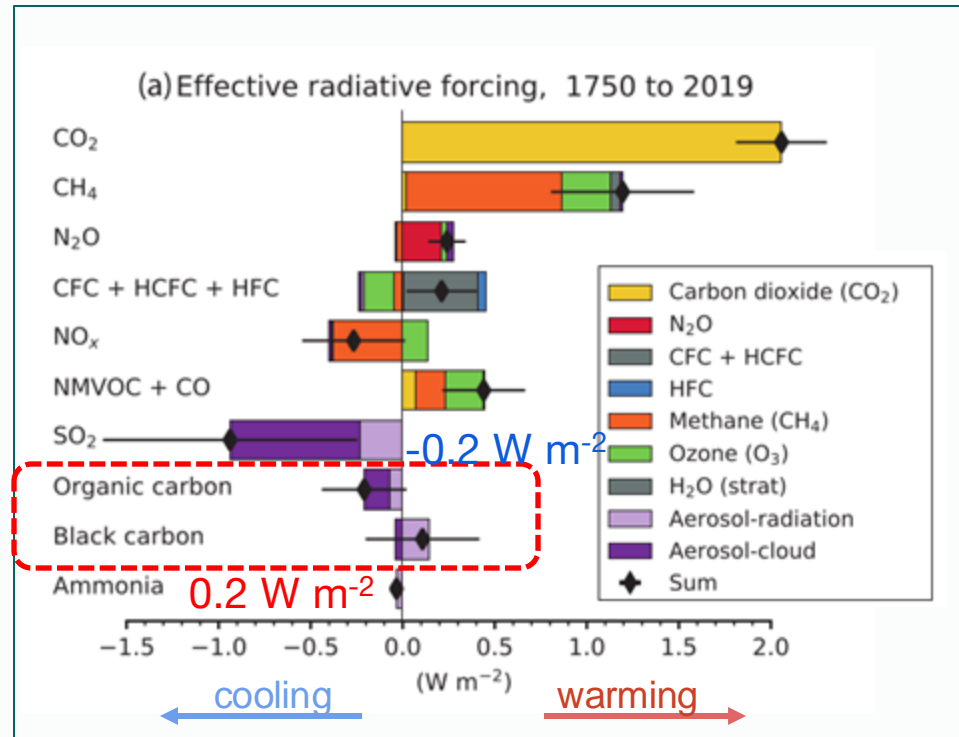
Kapoor et al., 2023;  
JGR: Atmospheres



# Uncertainties in Carbonaceous Aerosol Radiative Forcing

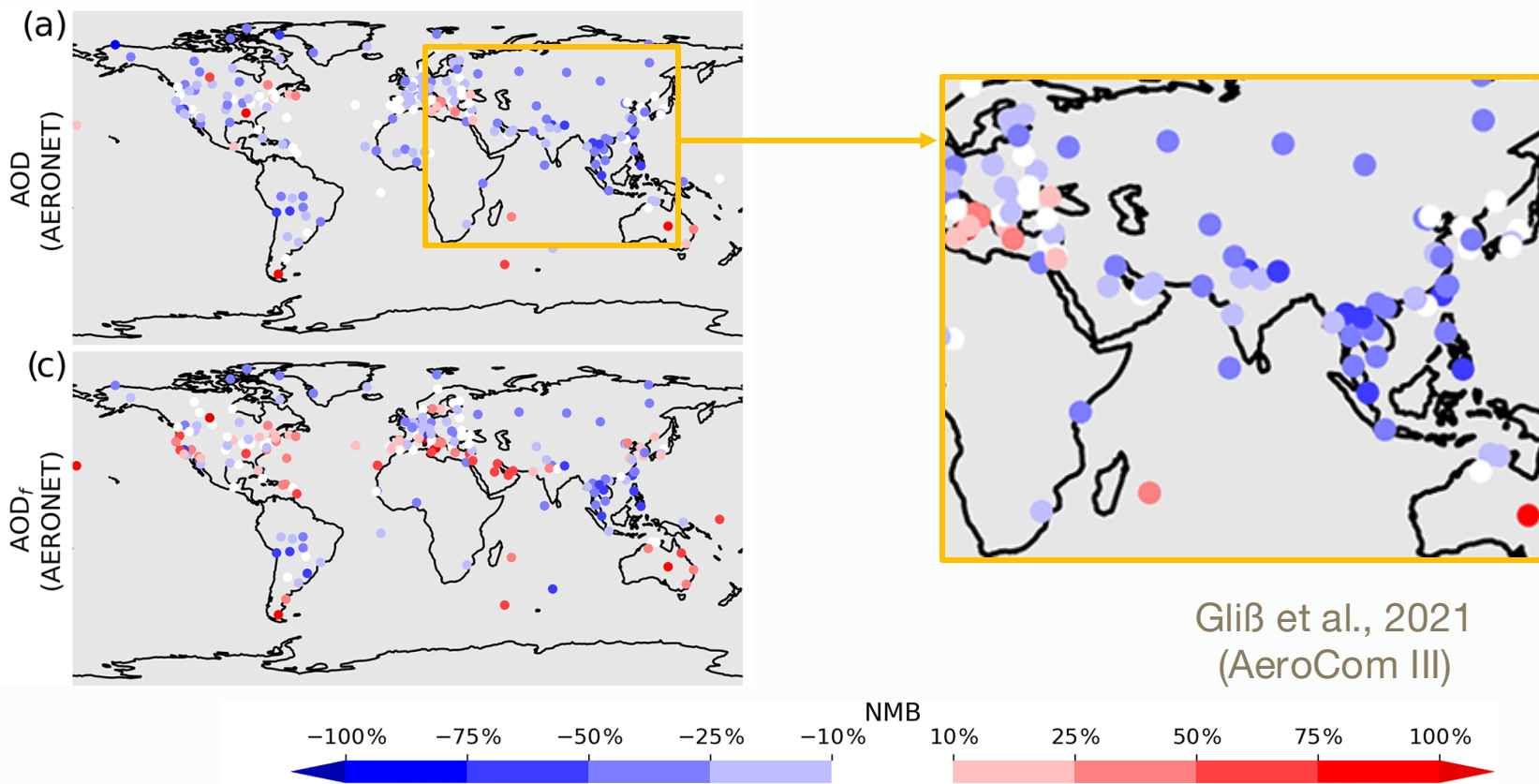


IPCC, AR5 (2014)



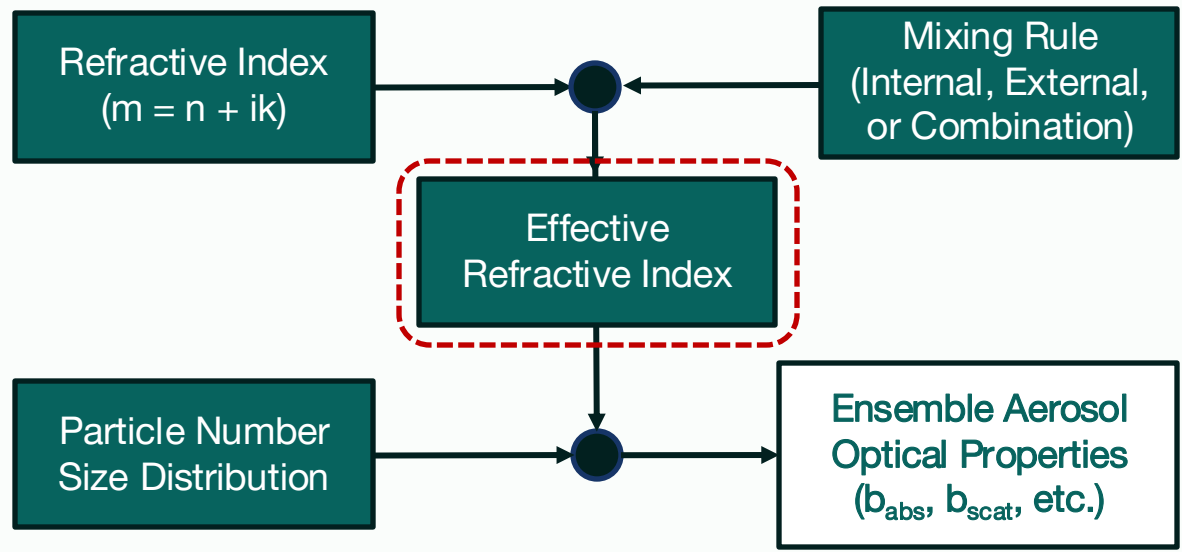
IPCC, AR6 (2021)

# Underestimation of Aerosol Optical Depth over India



# Aerosol Optical Property Calculations in Climate Models

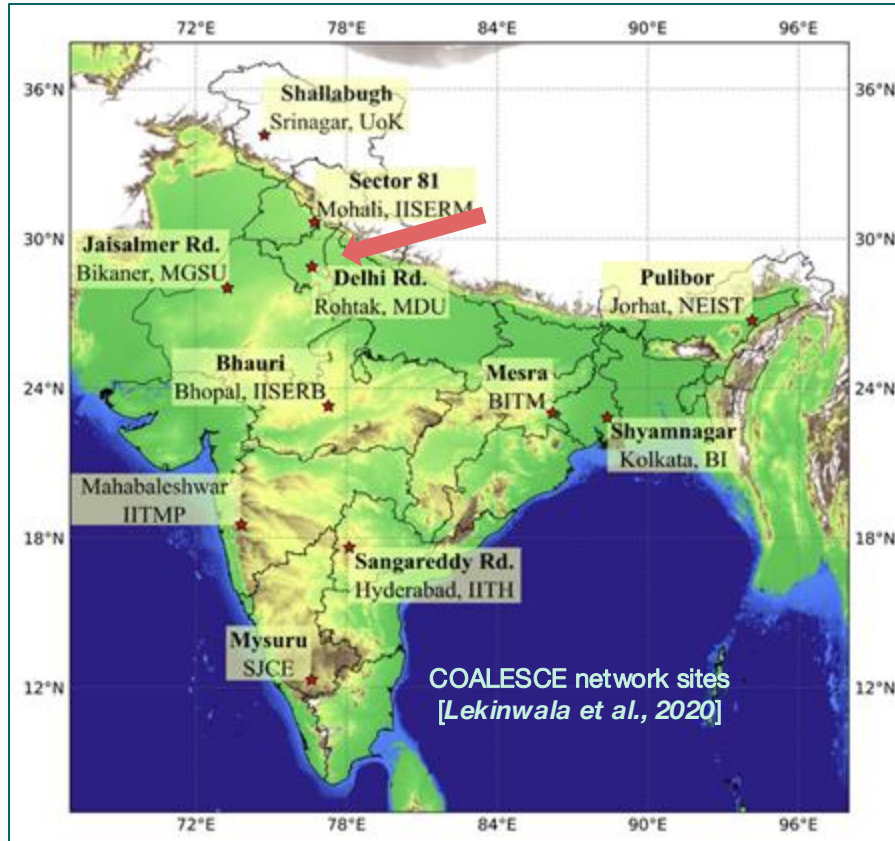
Aerosol Type	BC	...
Refractive Index ( $\lambda=550$ nm)	1.95+0.79 <i>i</i>	
AAE	1	
SAE	1.5	
CMD	150	
GSD	1.5	



Refractive Index,  $m = n + k i$   
 Scattering      Absorption

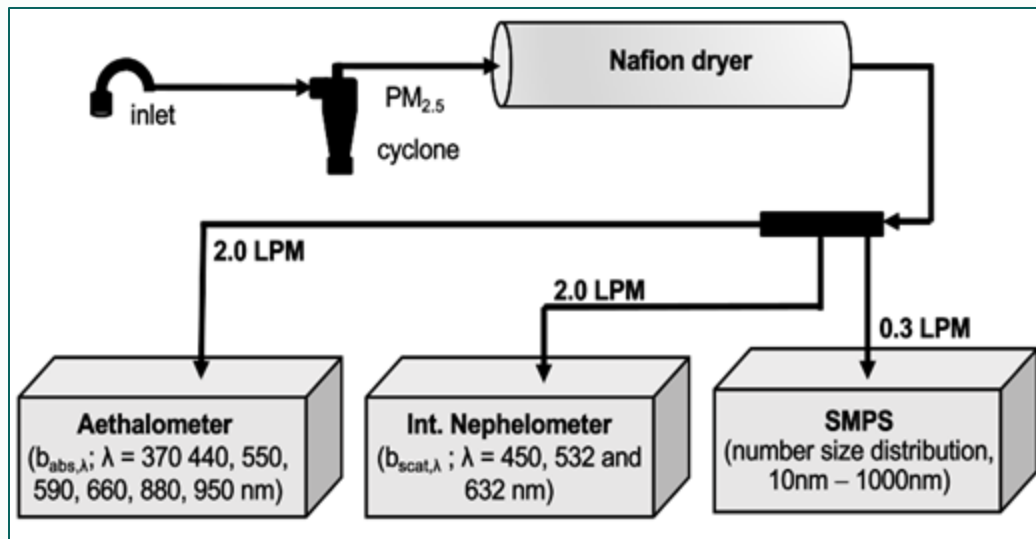
Effective refractive index - optically representative - not associated with any mixing scheme.

# Indian COALESCE Network Sites



- Indian National Carbonaceous Program – CarbOnaceous AerosoL Emissions, Source apportionment and ClimatE Impacts, NCAP-COALESCE – improve understanding of carbonaceous aerosols over Indian region
- Indo-Gangetic plains experiences haze events during post-monsoon and winter months
- Limited understanding of optical properties in the region and relationships with aerosol chemical properties in the region
- Especially intrinsic aerosol optical properties (SSA, AAE, refractive index, etc.)

# Measurements – site and instrumentation



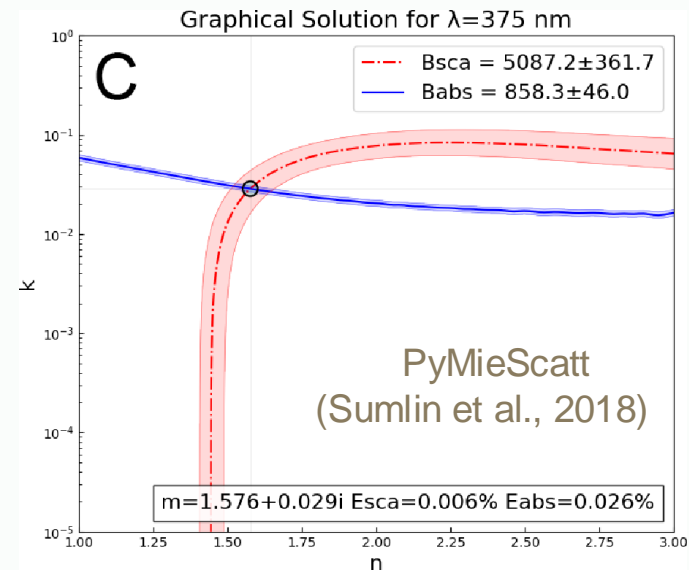
Time-integrated measurements (daily)

- EC/OC concentrations – IMPROVE-A – quartz
- PM<sub>2.5</sub> – gravimetric – teflon

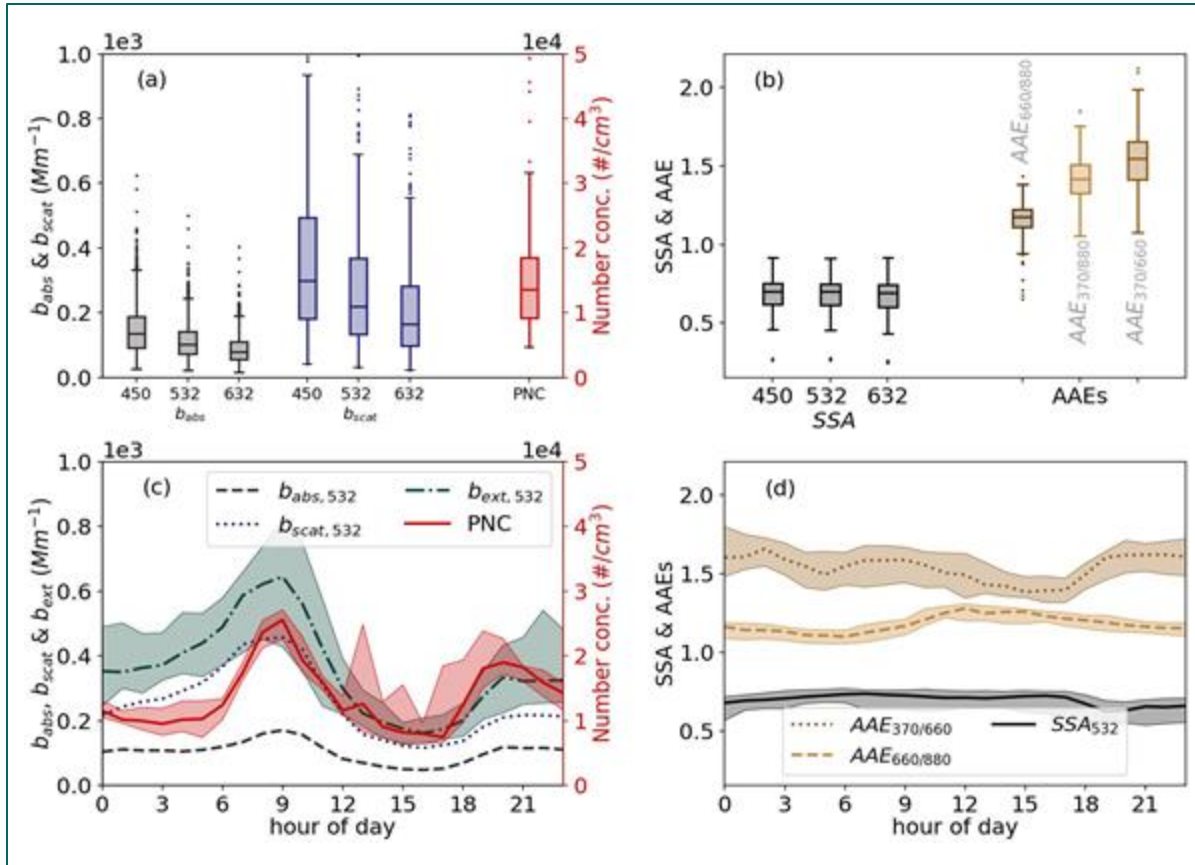
Measurements from 25 Jan – 24 Feb, 2020

Inverted Refractive Index using:

- Absorption and scattering coefficients
- Particle number size distribution



# Measured Aerosol Optical Properties



- Diurnal variations
  - Extrinsic properties – strong variation
  - Intrinsic properties – little variation

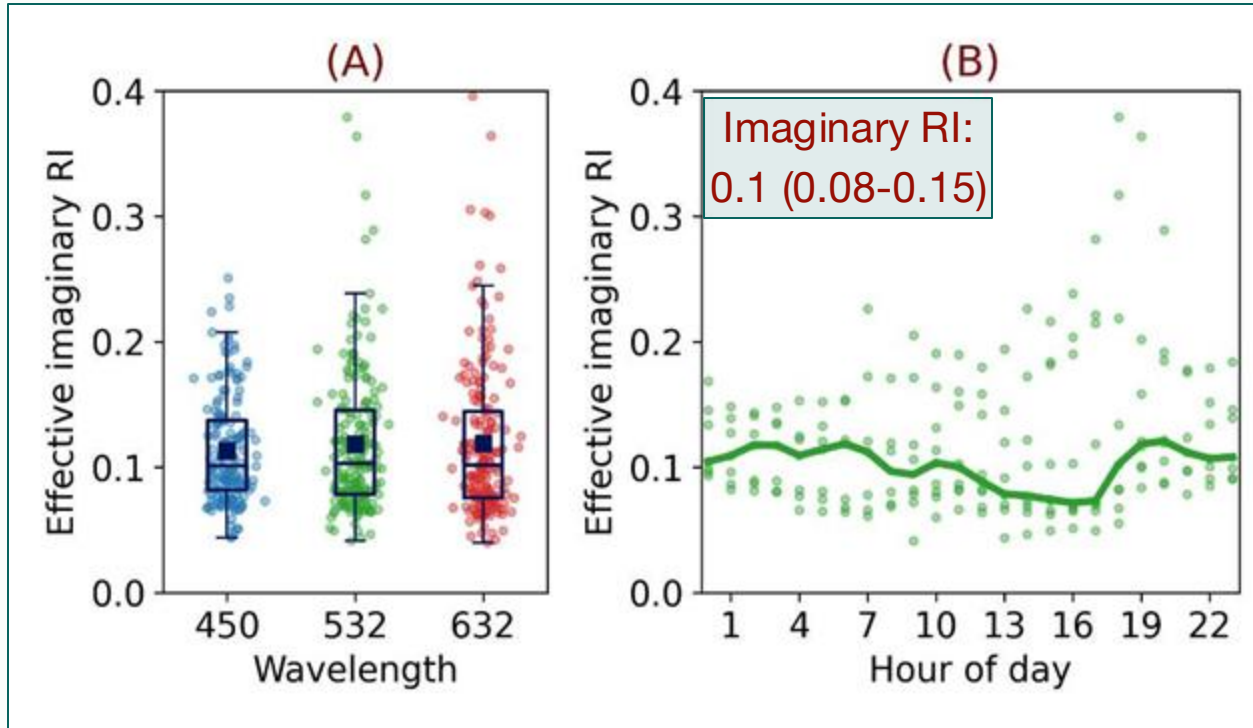
- $AAE_{370/660} \sim 1.5$  – some BrC

$$AAE = - \frac{\log\left(\frac{b_{abs,\lambda_1}}{b_{abs,\lambda_2}}\right)}{\log\left(\frac{\lambda_1}{\lambda_2}\right)}$$

- $SSA \sim 0.7$  - warming aerosols  
- found spectrally invariant

$$SSA_\lambda = - \frac{b_{abs,\lambda}}{b_{scat,\lambda}}$$

# Aerosol Absorption Refractive Index

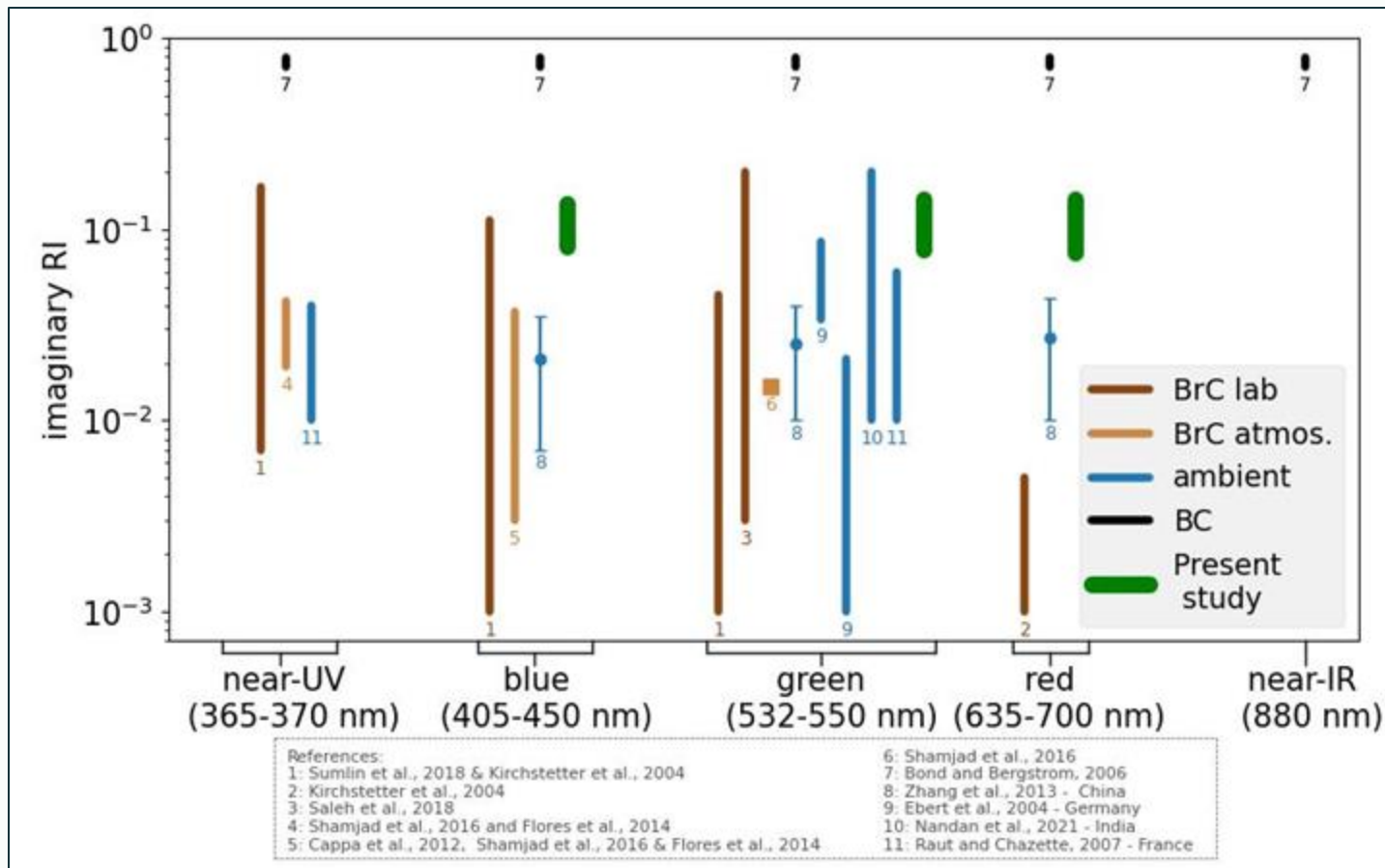


Refractive Index calculated by optical closure, PyMieScatt (Sumlin et al., 2018)

- RI not varying with wavelength – also reported by Zhang et al. (2013) at China
- No appreciable diurnal variation – also reported by Nandan et al. (2021) at India



# Comparison of refractive index with literature

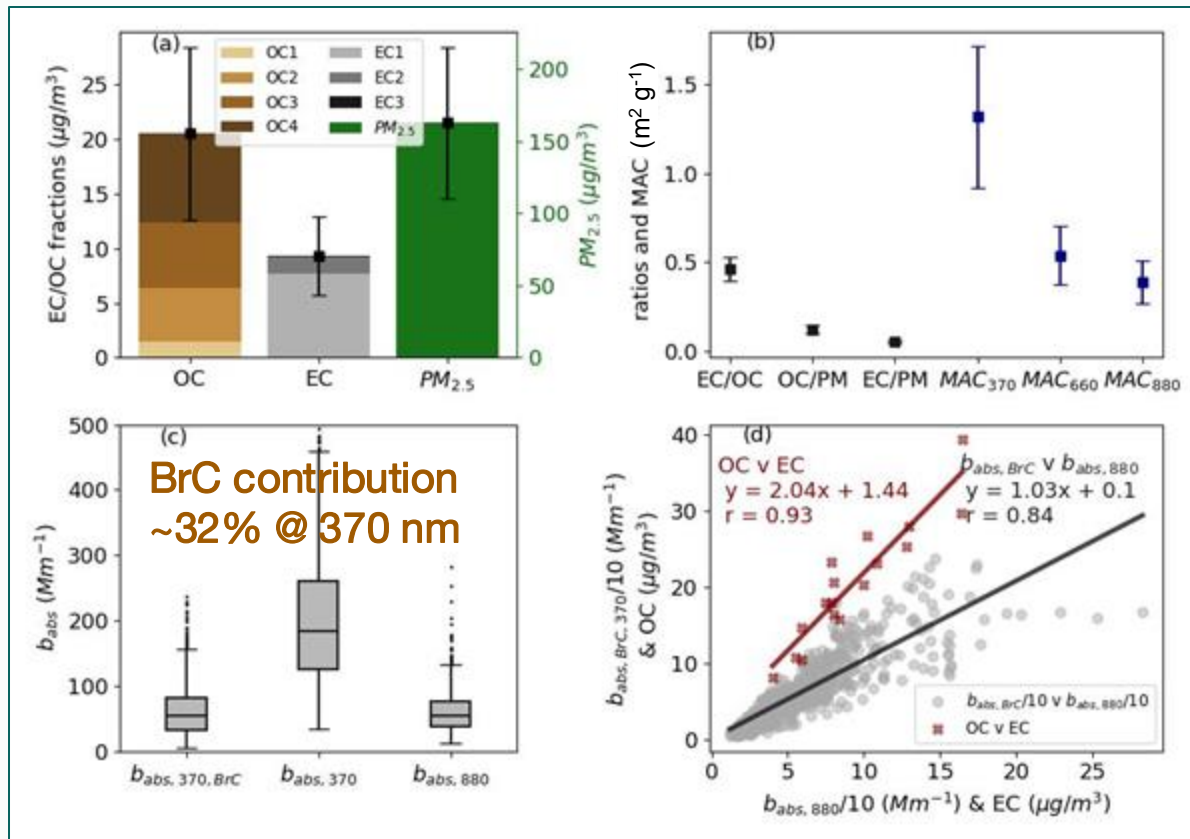


- Ambient imag-RI b/w BC and BrC
- Other locations: France, Germany, China, and India

NOTE: y-axis in log-scale

# Carbonaceous aerosol absorption and concentrations

- EC/OC of 0.5 – little variation
- OC – dominated by OC3 & OC4 – low-volatility – strongly absorbing [Saleh et al., 2016; Chakrabarty et al., 2023]
- $MAC_{OC,550} \sim 1.9 \text{ m}^2 \text{ g}^{-1}$  – strongly absorbing BrC [Saleh, 2020]
- Good correlation b/w OC & EC, and  $b_{abs,BrC}$  and  $b_{abs,BC}$  – BrC likely from combustion sources



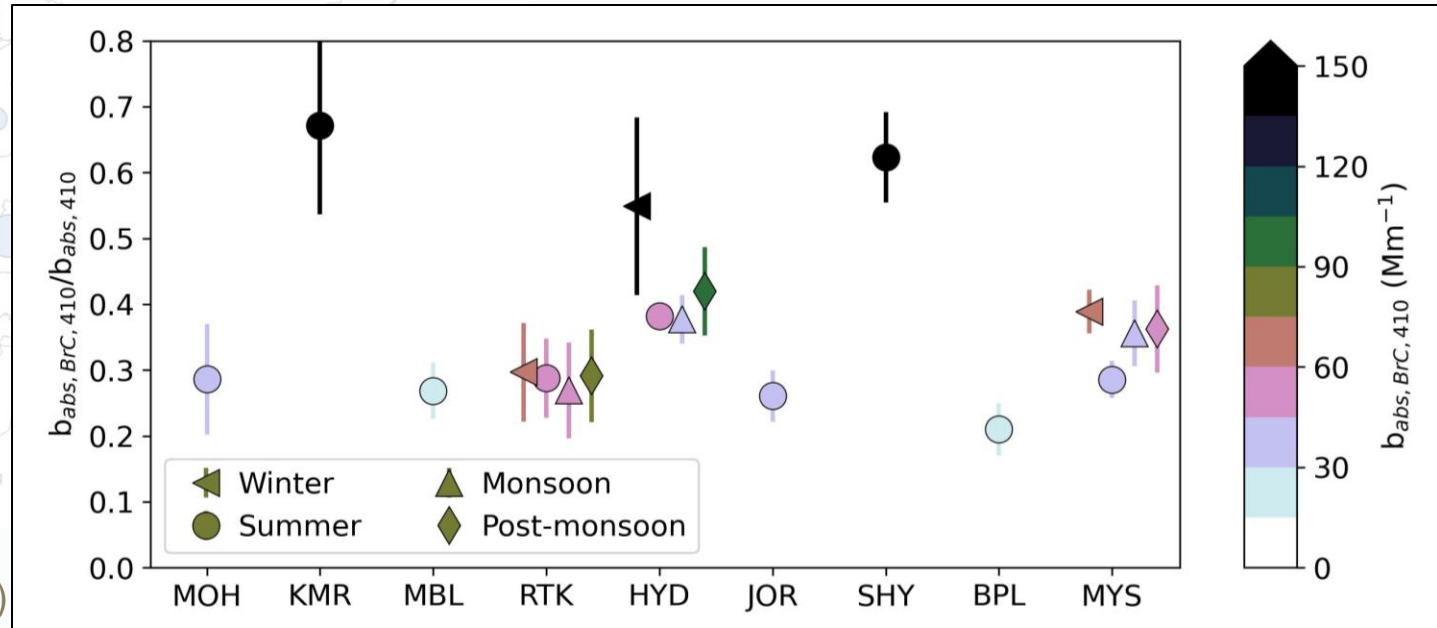
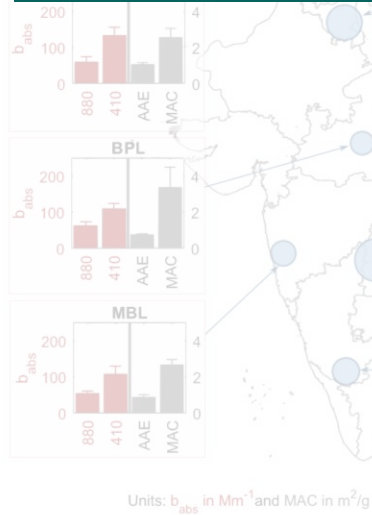
# Brown carbon absorption across India

Brown carbon absorption is significant and needs inclusion in climate models!



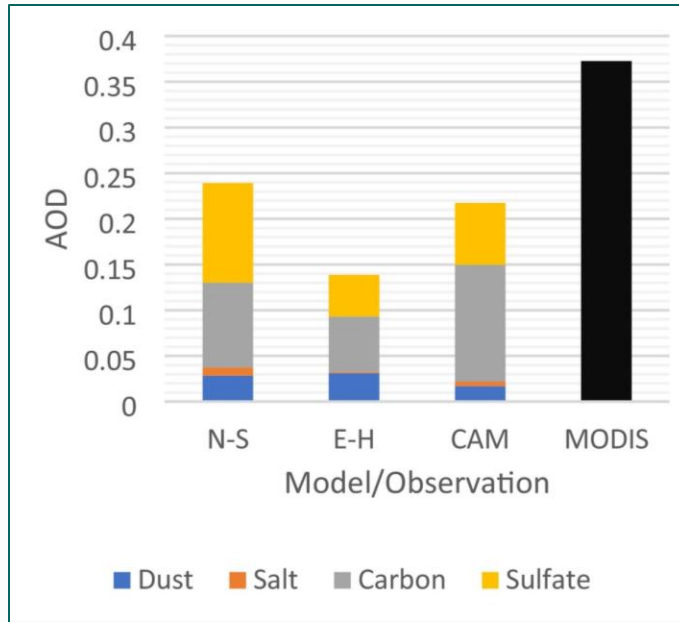
Measured Spectral Aerosol Absorption across 9 COALESCE sites.

BrC absorption calculated using a Mie-theory based optimization method [Kapoor et al., 2022]

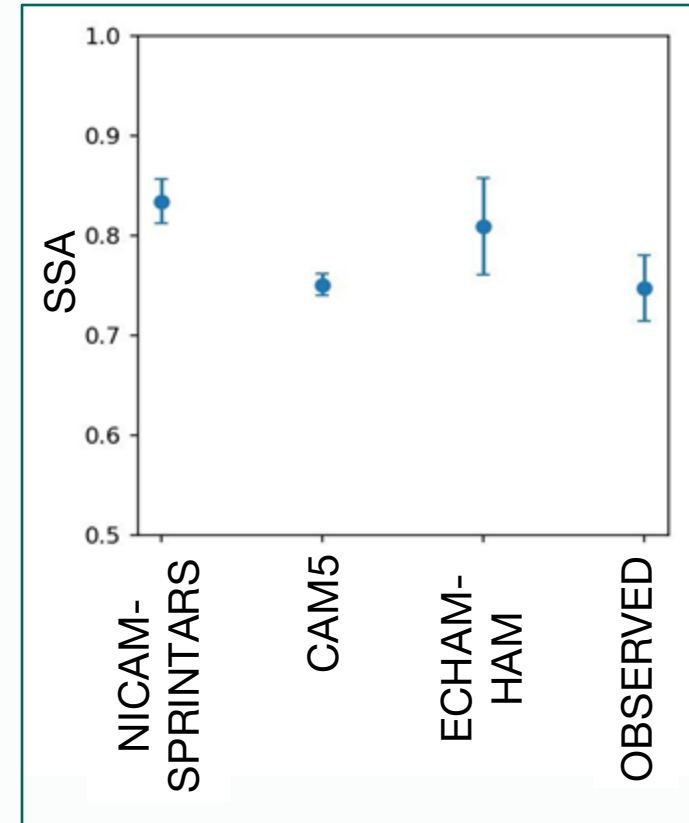


Kapoor et al., 2024 (GRL)

# Climate Models Underestimate Intrinsic and Extrinsic Absorption



Sarkar et al., 2022. Evaluation of the simulated aerosol optical properties over India: COALESCE model inter-comparison of three GCMs with ground and satellite observations. *Science of the Total Environment*



# Summary and conclusion

- Measured **aerosol optical and micro-physical properties** in the Indo-Gangetic region
- Measured aerosol has **SSA of 0.7**, indicative of **very warming aerosol**
- Measured imaginary-RI
  - **0.1 (0.08-0.15, 25<sup>th</sup>–75<sup>th</sup> percentile)**
  - **Invariant in the visible region**
  - **No diurnal variation**
  - **Upper range of previous measurements**
- **Low volatility OC dominate – BrC absorption with BC like behaviour [Saleh et al., 2016] – need to be included in climate models**
- **BrC and OC likely from combustion sources**
- Measured **Intrinsic properties useful to further constrain and validate climate model simulations**



Published in JGR: Atmospheres

# Acknowledgements

- WashU-IIT Bombay Joint Research and Education Initiative
- NCAP-COALESCE project, Ministry of Environment Forests and Climate Change, Govt. of India



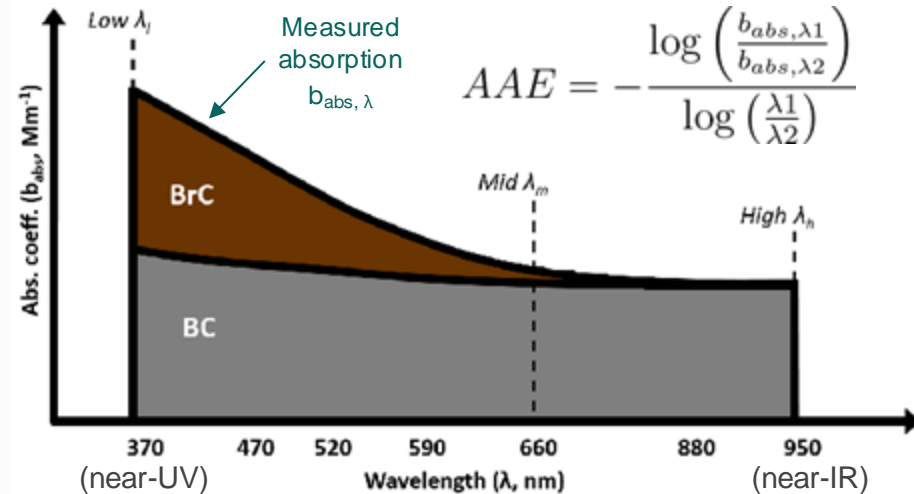
Thank You!  
Questions?

Contact:  
[k.taveen@wustl.edu](mailto:k.taveen@wustl.edu)



# Literature review – methods to estimate BrC absorption

- Organic carbon species that absorb radiation – brown carbon
- OC was treated as purely scattering – properties still uncertain
- BrC absorbs preferentially in near-UV
- Extrapolating BC absorption:
  - Assumptions,  $AAE = 1$  [Olson et al., 2015; Tian et al., 2019; Zhang et al., 2018; Zhu et al., 2017, Chen et al., 2015]
  - Sophisticated instrumentation and Mie theory [Wang et al., 2016; Wang et al., 2018]
- Study reported constant  $AAE_{ratio}$  ( $AAE_{UV}/AAE_{IR}$ ) [Wang et al, 2018]



$$b_{abs, \lambda} = b_{abs, BC, \lambda} + b_{abs, BrC, \lambda}$$

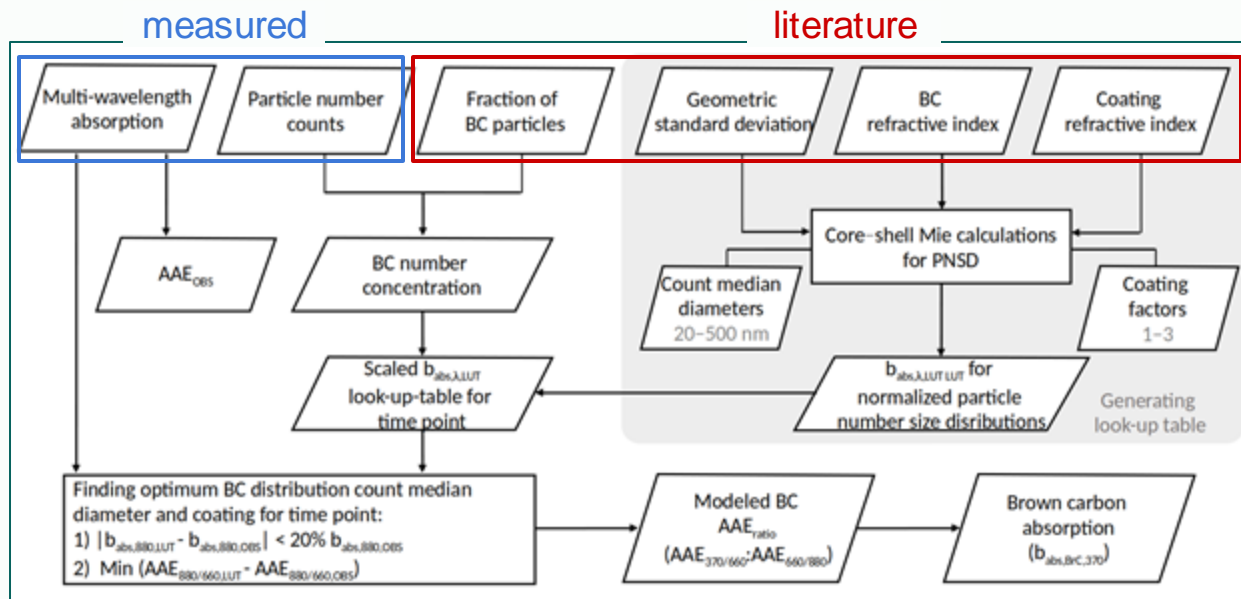
$$b_{abs, 880} = b_{abs, BC, 880}$$

$$b_{abs, 660} = b_{abs, BC, 660}$$

$$b_{abs, 370} = b_{abs, BC, 370} + b_{abs, BrC, 370}$$

# Developed method to calculate BrC absorption

- Mie theory – core shell
- LUT with BC absorption properties
- Literature derived inputs
  - Fraction-BC particles
  - BC size distributions
  - BC RI
  - Coating RI
- Optically representative BC distribution
  - BC CMD
  - Coating factor

 $AAE_{ratio}$ 


Overall ~32% uncertainty

Measurements:

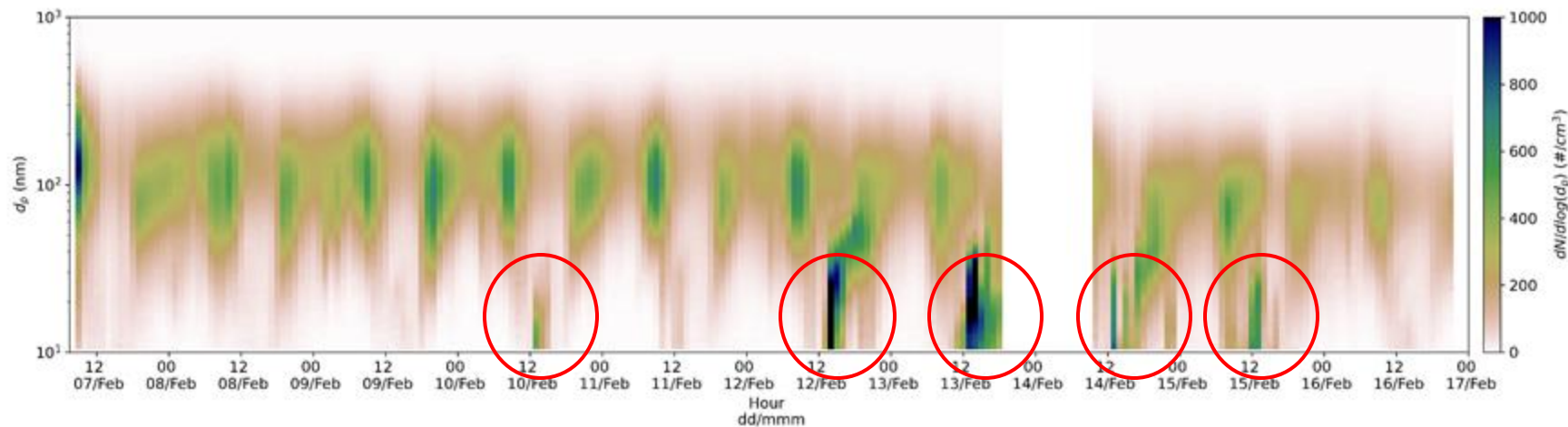
- Multi-wavelength absorption (here Aethalometer)
- Particle number counts (here SMPS, CPC)



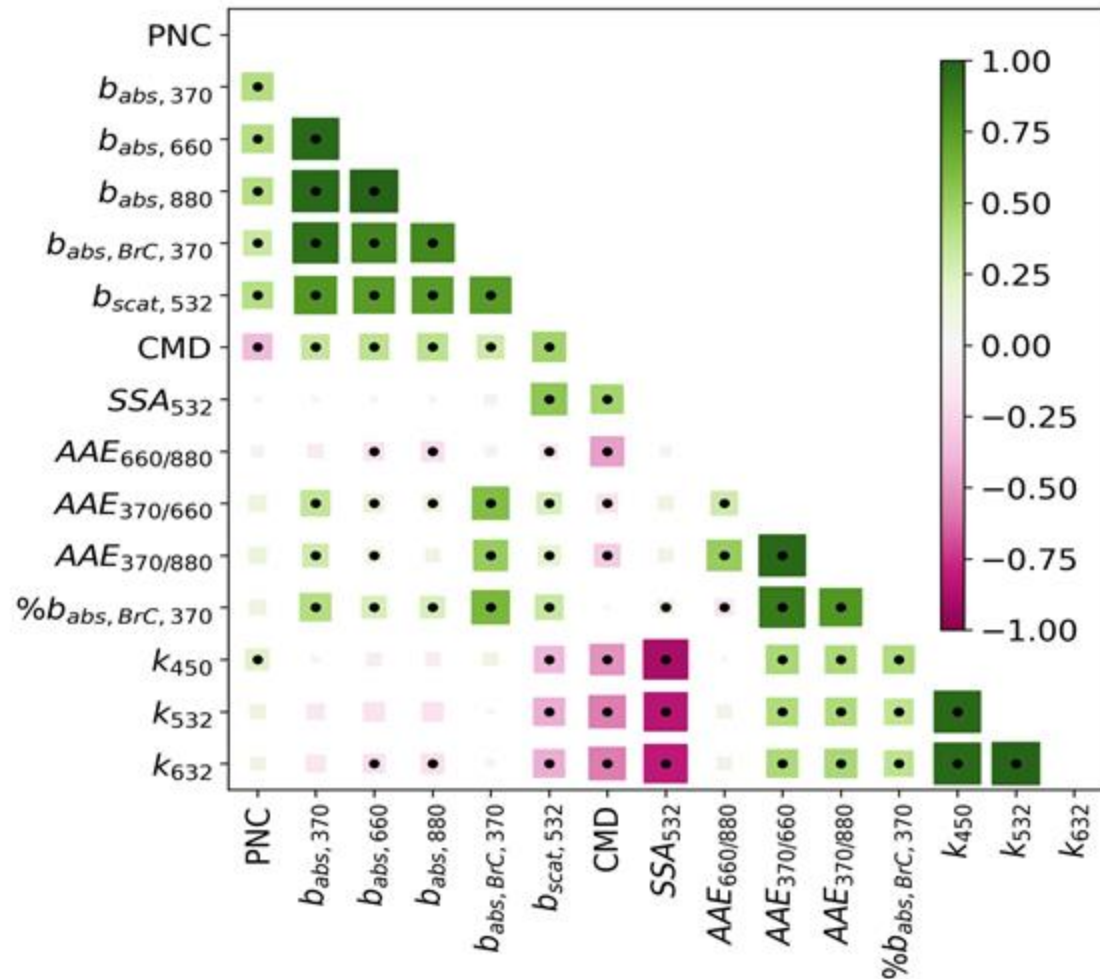
[Kapoor et al, 2022]



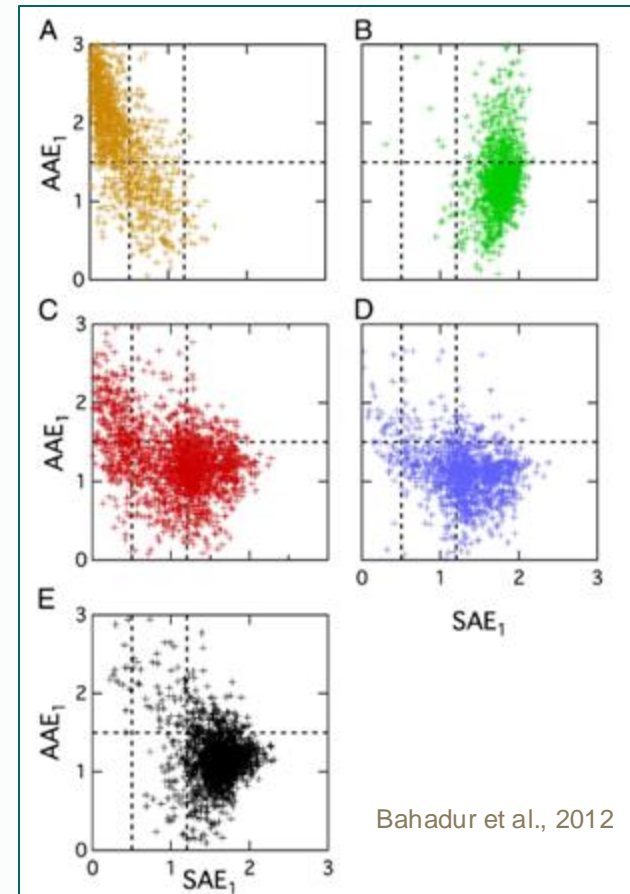
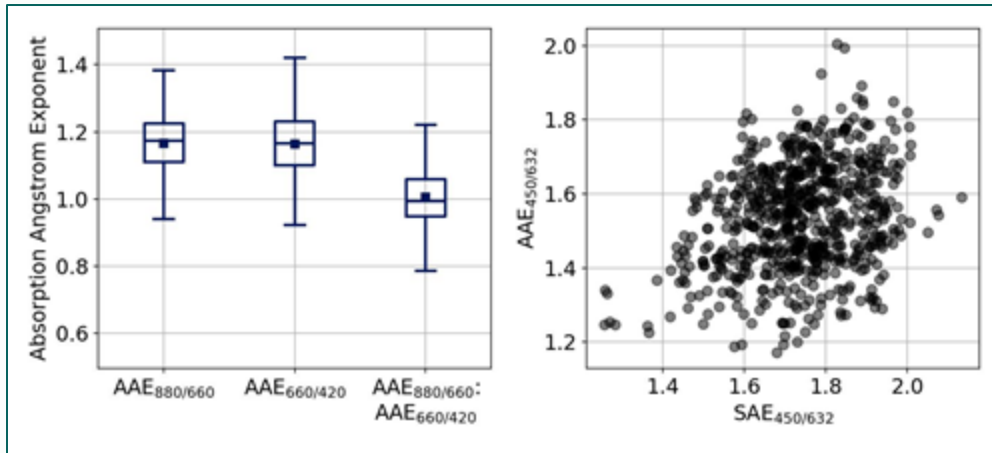
# New particle formation



# Correlation coefficient plot



# Possible dust contributions?



Bahadur et al., 2012

Fig. 1. (A-E) Scatter plots of  $AAE_1$  and  $SAE_1$  calculated from AERONET measurements at (A) dust (DU), (B) BB, (C) UF, (D) NF, and (E) CA sites. Dashed lines illustrate the threshold values of  $SAE_1 = 0.5$ ,  $SAE_1 = 1.2$ , and  $AAE_1 = 1.5$  used to separate dust-dominated and dust-free regimes.

# Diurnal Variation of Particle Number Size Distribution

